

# PHYS 3038 Optics

## L18 Diffraction

### Reading Material: Ch10.2-2



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2015, the Year of Light

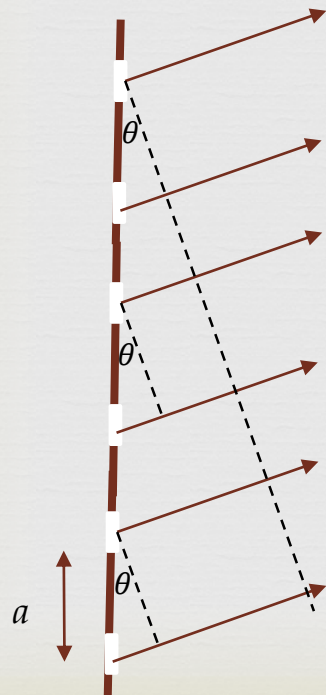
# 10.2.3 Fraunhofer Diffraction by many Slits



Recall: single slit  $E_1 = \frac{D\mathcal{E}_L}{R} e^{ikR} e^{-i\omega t} \text{sinc } \beta$

$$\delta = ka \sin \theta$$

$$\alpha = \delta/2$$



Diffraction + Interference

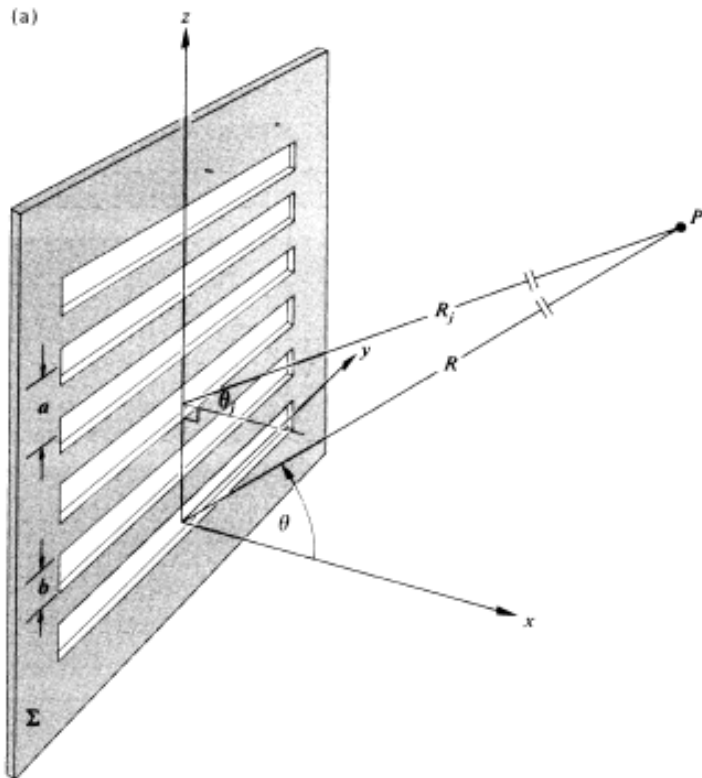
$$E = \sum_{m=1}^N E_m = \sum_{m=1}^N E_1 e^{i(m-1)\delta} = E_1 \sum_{m=0}^{N-1} e^{im\delta} = E_1 \frac{1 - e^{iN\delta}}{1 - e^{i\delta}}$$

$$= E_1 \frac{e^{iN\delta/2} \sin N\delta/2}{e^{i\delta/2} \sin \delta/2} = E_1 \frac{\sin N\alpha}{\sin \alpha} e^{i(N-1)\delta/2}$$

$$I = \frac{1}{2} E^* E = \frac{1}{2} E_1^* E_1 \left( \frac{\sin N\alpha}{\sin \alpha} \right)^2 = I_1 \left( \frac{\sin N\alpha}{\sin \alpha} \right)^2 = I_0 \left( \frac{\sin \beta}{\beta} \right)^2 \left( \frac{\sin N\alpha}{\sin \alpha} \right)^2$$

# Many Slits

$$I = I_0 \left( \frac{\sin \beta}{\beta} \right)^2 \left( \frac{\sin N\alpha}{\sin \alpha} \right)^2$$



lier treatment [Eq. (10.17)], **principal maxima** occur when  $(\sin N\alpha / \sin \alpha) = N$ , that is, when

$$\alpha = 0, \pm\pi, \pm2\pi, \dots$$

or equivalently, since  $\alpha = (ka/2) \sin \theta$ ,

$$a \sin \theta_m = m\lambda \quad (10.32)$$

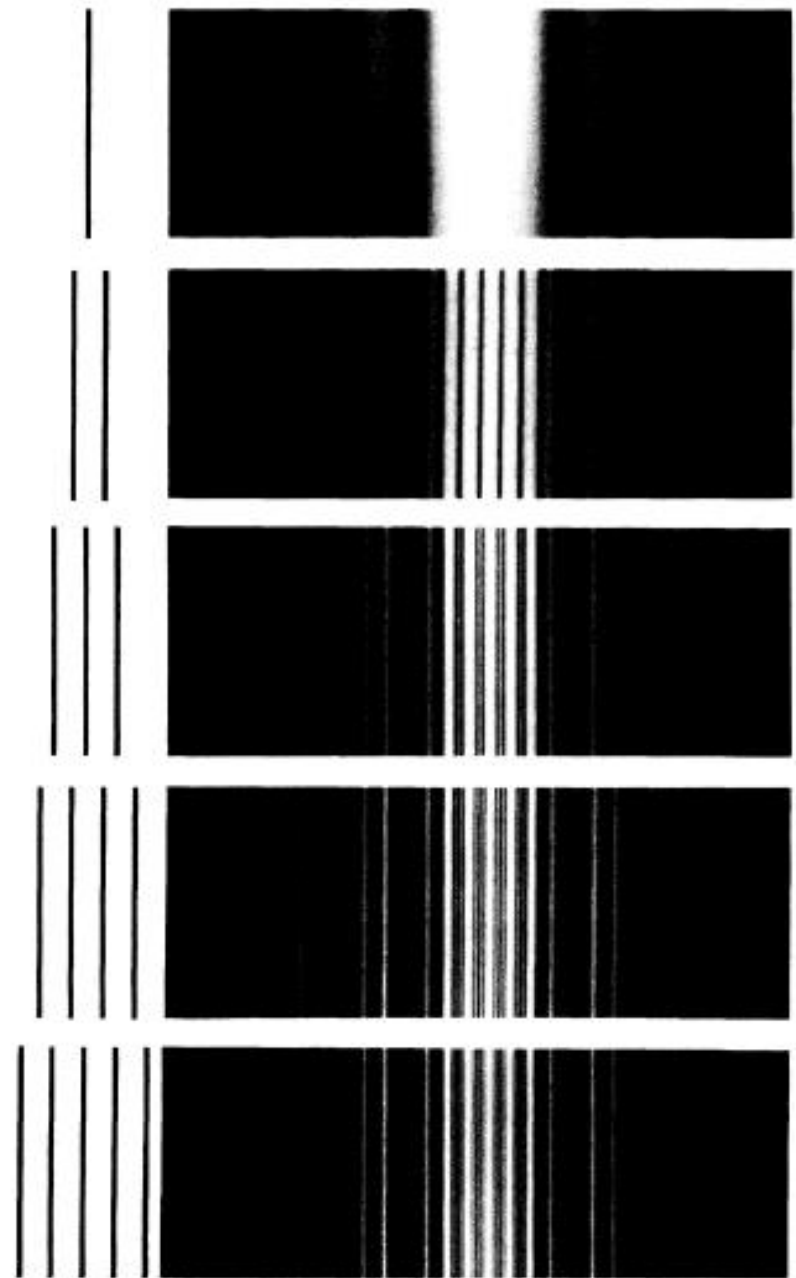
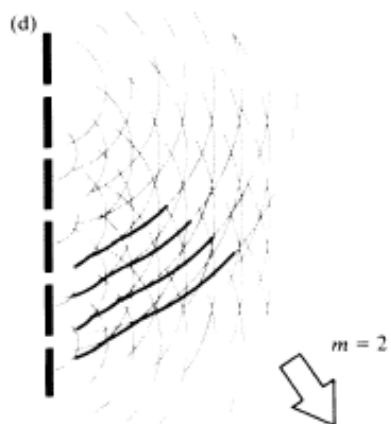
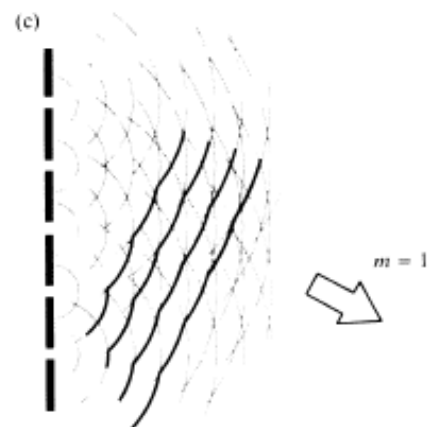
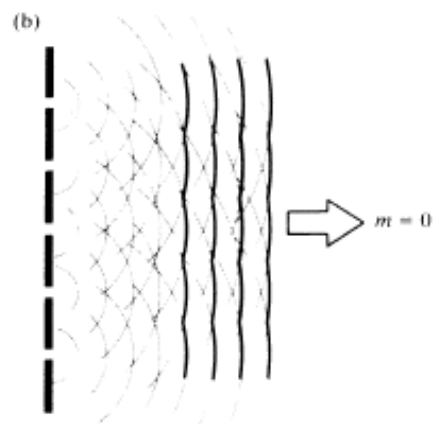
with  $m = 0, \pm 1, \pm 2, \dots$ . This is quite general and gives rise to the same  $\theta$ -locations for these maxima, regardless of the value of  $N \geq 2$ . Minima, of zero flux density, exist whenever  $(\sin N\alpha / \sin \alpha)^2 = 0$  or when

$$\alpha = \pm \frac{\pi}{N}, \pm \frac{2\pi}{N}, \pm \frac{3\pi}{N}, \dots, \pm \frac{(N-1)\pi}{N}, \pm \frac{(N+1)\pi}{N}, \dots \quad (10.33)$$

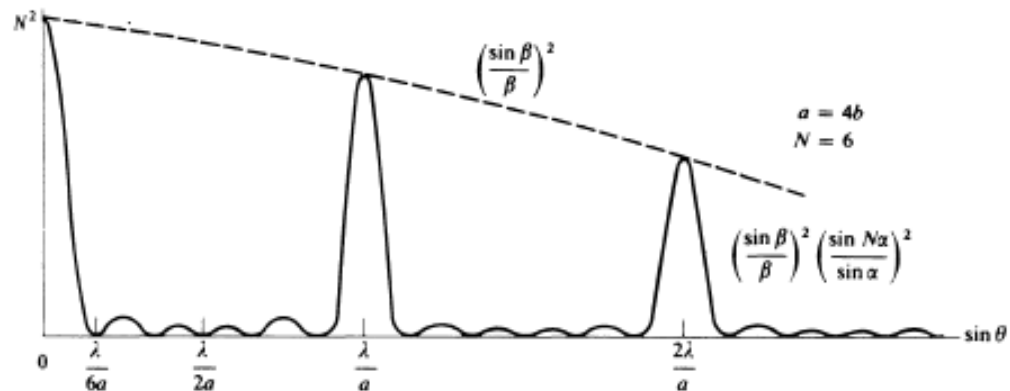
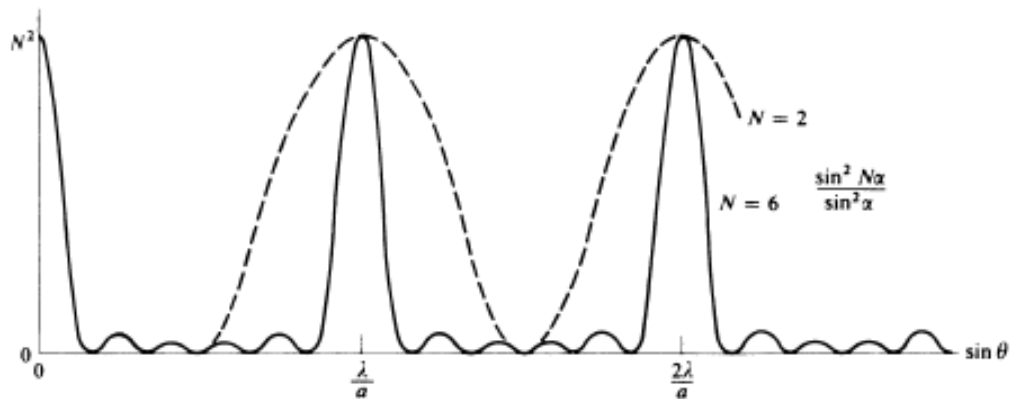
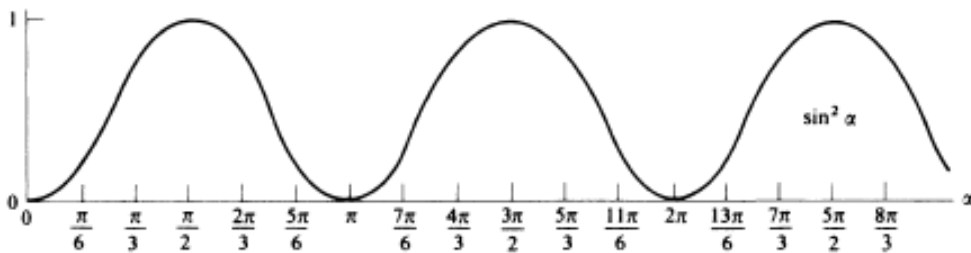
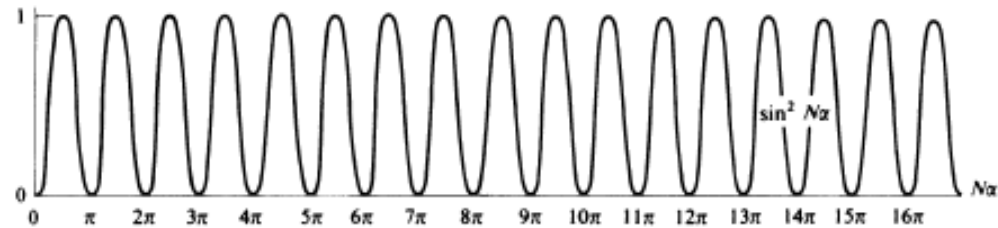
Between consecutive principal maxima (i.e., over the range in  $\alpha$  of  $\pi$ ) there will therefore be  $N - 1$  minima. And, of course, between each pair of minima there will have to be a **subsidiary maximum**. The term  $(\sin N\alpha / \sin \alpha)^2$ , which we can think of as embodying the interference effects, has a rapidly varying numerator and a slowly varying denominator. The subsidiary maxima are therefore located approximately at points where  $\sin N\alpha$  has its greatest value, namely,

$$\alpha = \pm \frac{3\pi}{2N}, \pm \frac{5\pi}{2N}, \dots \quad (10.34)$$

The  $N - 2$  *subsidiary maxima* between consecutive principal maxima are clearly visible in Fig. 10.16. We can get some idea



**Figure 10.16** Diffraction patterns for slit systems shown at left. (Francis Weston Sears, Optics. Reprinted with permission of Addison Wesley Longman, Inc.)

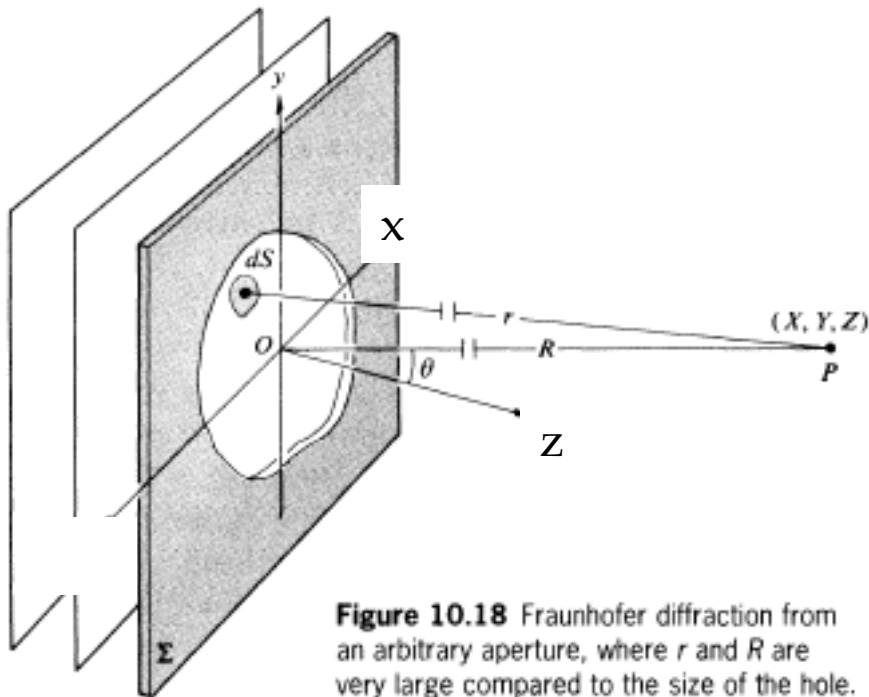


$$I = I_0 \left( \frac{\sin \beta}{\beta} \right)^2 \left( \frac{\sin N\alpha}{\sin \alpha} \right)^2$$

## 10.2.4 2D Aperture



$$E = \iint \frac{\epsilon_A}{r} e^{i(kr - \omega t)} dS$$



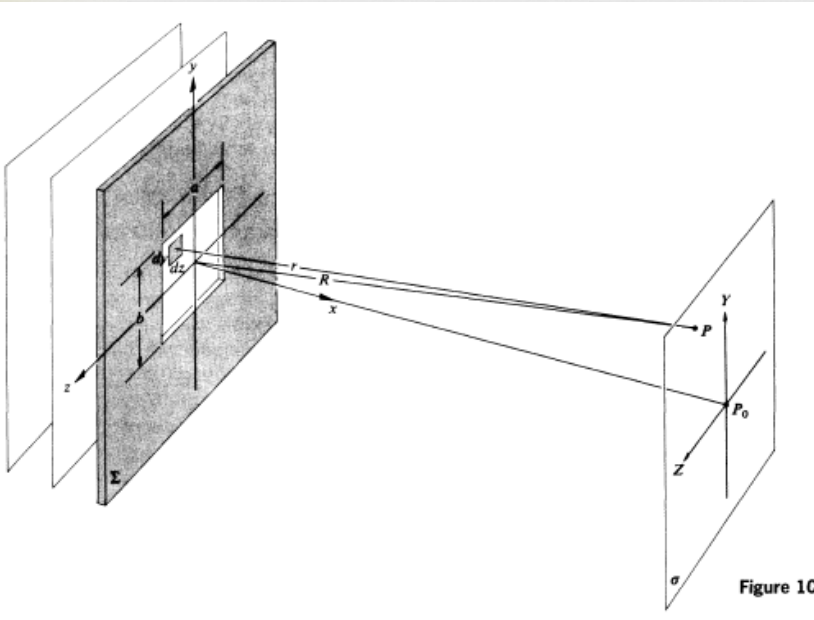
**Figure 10.18** Fraunhofer diffraction from an arbitrary aperture, where  $r$  and  $R$  are very large compared to the size of the hole.

$$\cong \frac{\epsilon_A}{R} e^{i(kR - \omega t)} \iint e^{-ik(Xx + Yy)/R} dS$$

# Rectangular Aperture



$$E_1 = \frac{D\varepsilon_L}{R} e^{ikR} e^{-i\omega t} \text{sinc } \beta$$



$$E \cong \frac{\varepsilon_A}{R} e^{i(kR - \omega t)} \iint e^{-ik(Xx + Yy)/R} dS$$

$$= \frac{\varepsilon_L}{R} e^{i(kR - \omega t)} \int_{-a/2}^{a/2} e^{-ikXx/R} dx \int_{-b/2}^{b/2} e^{-ikYy/R} dy$$

$$= \frac{\varepsilon_L}{R} e^{i(kR - \omega t)} \int_{-a/2}^{a/2} e^{-ikXx/R} dx \int_{-b/2}^{b/2} e^{-ikYy/R} dy$$

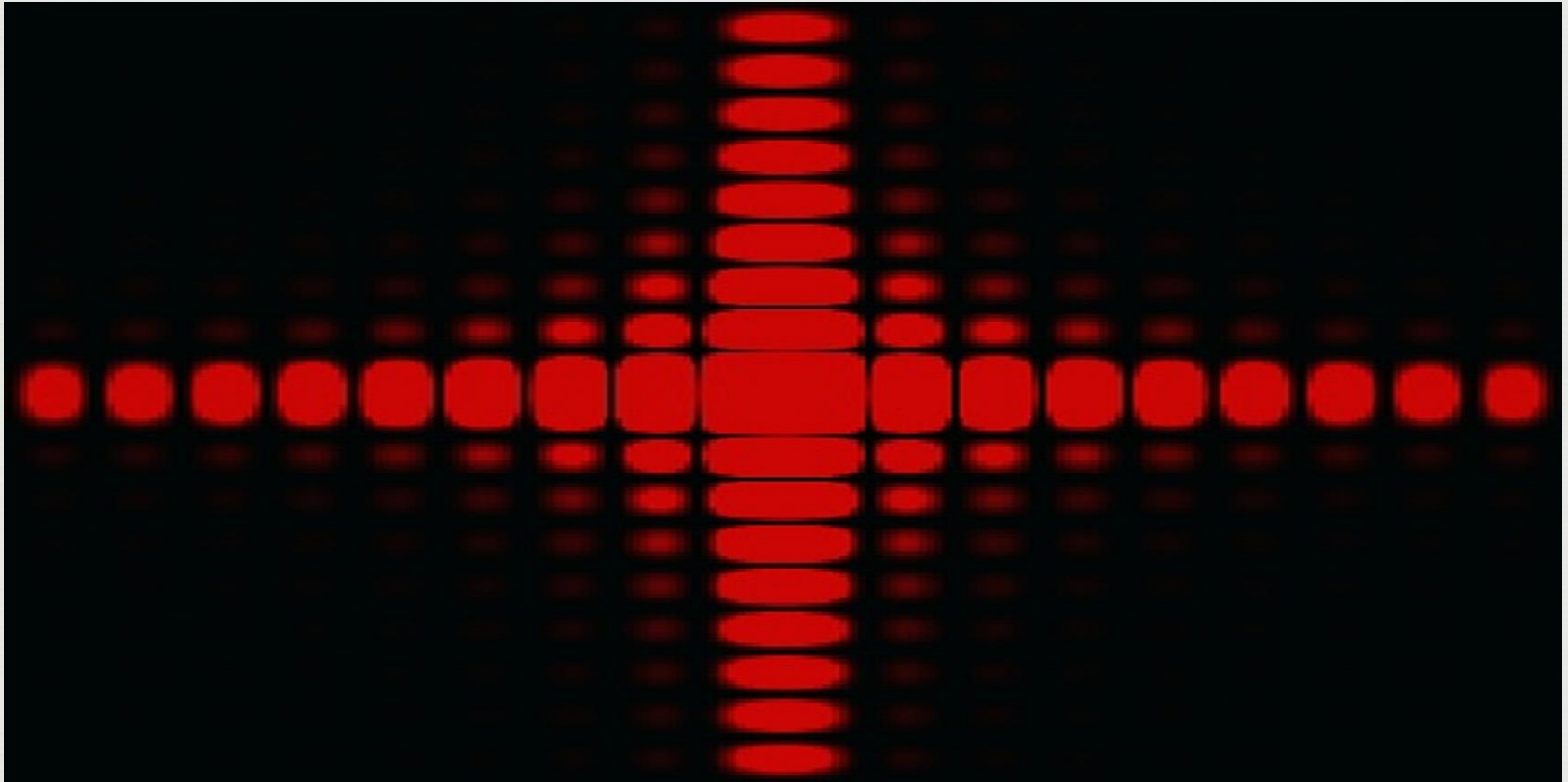
$$= \frac{ab\varepsilon_A}{R} e^{ikR} e^{-i\omega t} \text{sinc } \alpha \text{sinc } \beta$$

$$I = I_0 \text{sinc}^2 \alpha \text{sinc}^2 \beta$$

$$\alpha = \left( \frac{ka}{2} \right) \sin \theta_x = \frac{kaX}{2R}$$

$$\beta = \left( \frac{kb}{2} \right) \sin \theta_y = \frac{kbY}{2R}$$

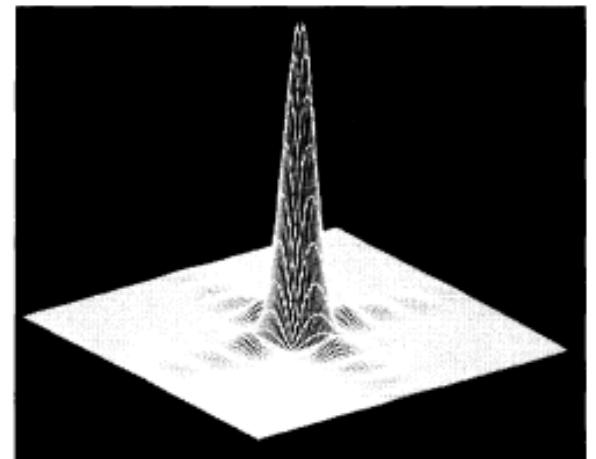
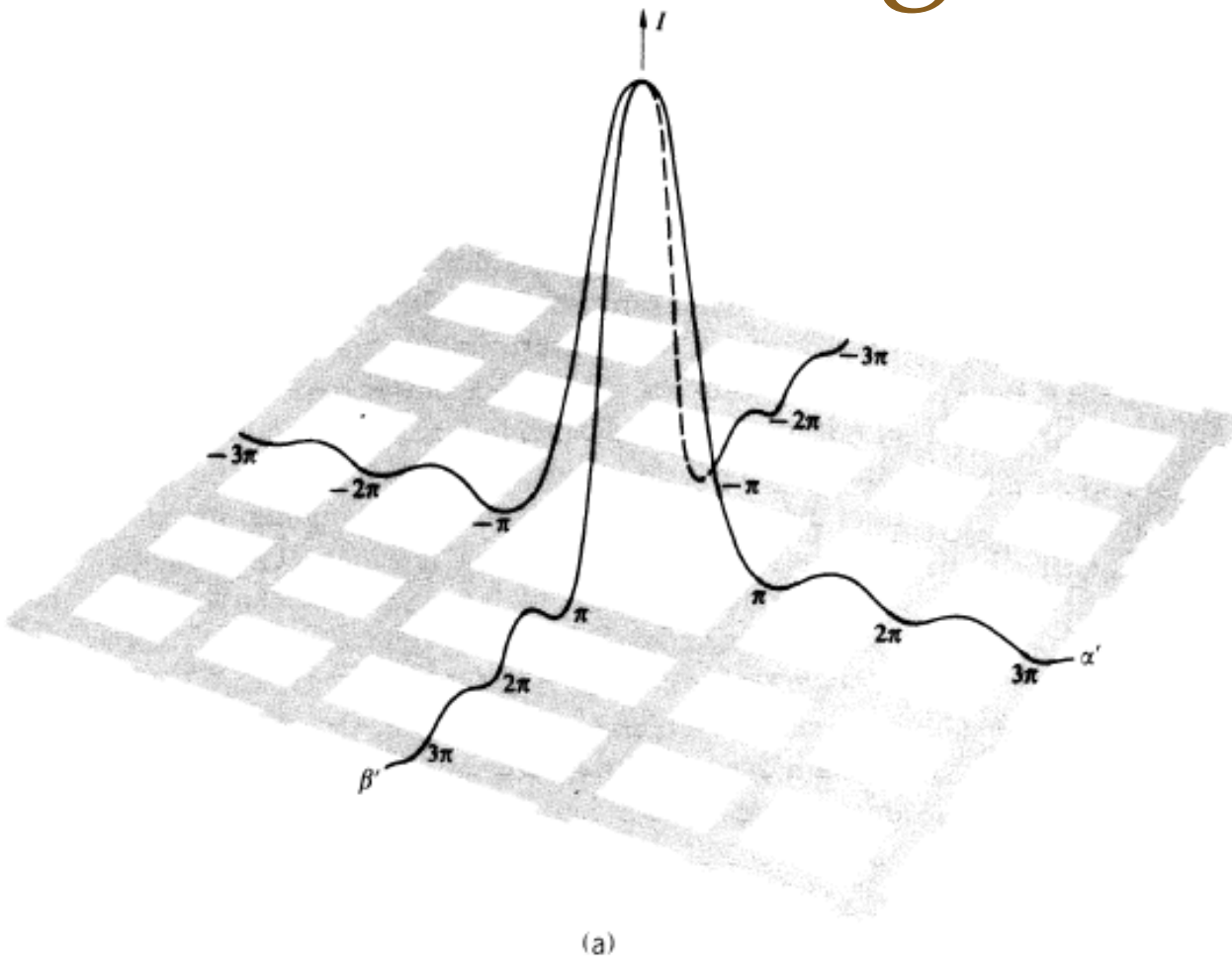
# Rectangular Slit



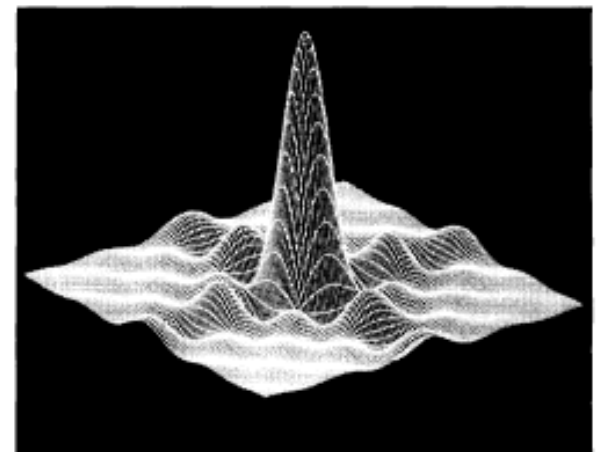
$$I = I_0 \operatorname{sinc}^2 \beta_x \operatorname{sinc}^2 \beta_y$$

$$\beta_{x,y} = \left( \frac{kD_{x,y}}{2} \right) \sin \theta_{x,y}$$

# Rectangular Slit



(b)



(c)

**Figure 10.20** (a) The irradiance distribution for a square aperture. (b) The irradiance produced by Fraunhofer diffraction at a square aperture. (c) The electric-field distribution produced by Fraunhofer diffraction via a square aperture. (Photos courtesy R. G. Wilson, Illinois Wesleyan University.)

# Circular Aperture

$$E \cong \frac{\mathcal{E}_A}{R} e^{i(kR - \omega t)} \iint e^{-ik(Xx + Yy)/R} dS$$

$$x = \rho \cos \phi \quad y = \rho \sin \phi$$

$$X = q \cos \Phi \quad Y = q \sin \Phi$$



$$E = \frac{\mathcal{E}_A}{R} e^{i(kR - \omega t)} \int_0^{2\pi} \int_0^a e^{-i\left(\frac{k\rho q}{R}\right) \cos(\phi - \Phi)} \rho d\rho d\phi$$

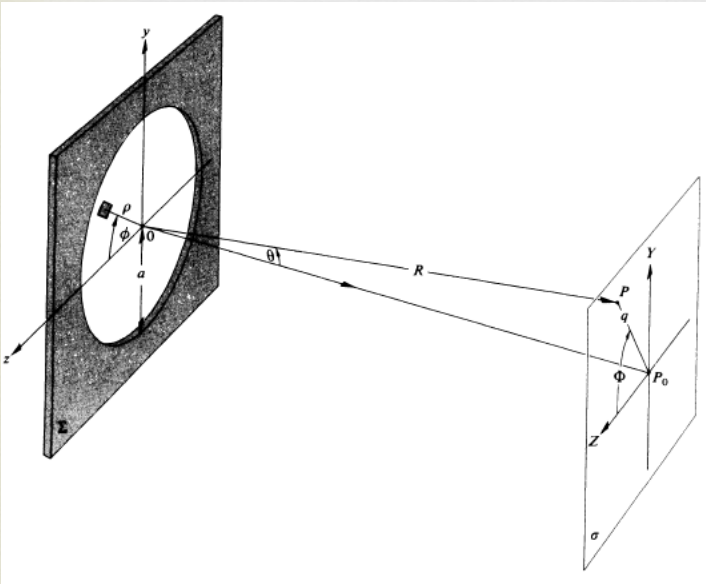
The Bessel function (of the first kind) of order zero

$$J_0(u) = \frac{1}{2\pi} \int_0^{2\pi} e^{iu \cos v} dv$$

$$E = \frac{\mathcal{E}_A}{R} e^{i(kR - \omega t)} 2\pi \int_0^a J_0\left(\frac{k\rho q}{R}\right) \rho d\rho$$

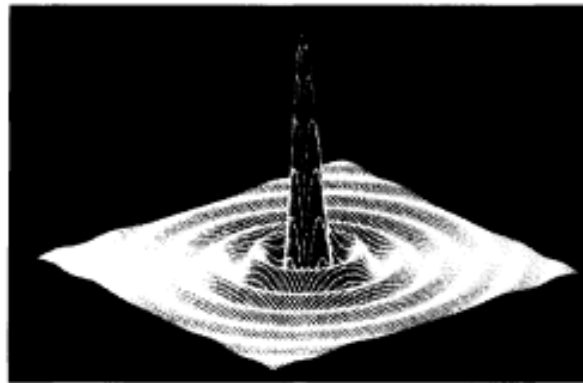
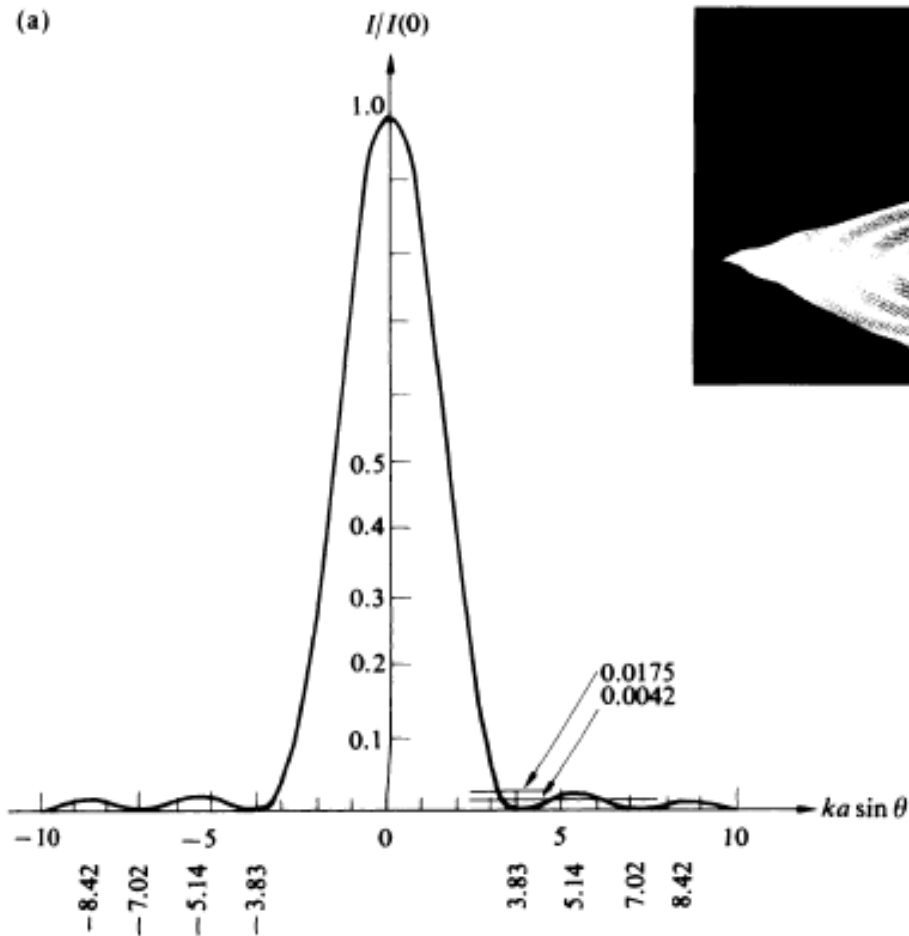
$$= \frac{\mathcal{E}_A}{R} e^{i(kR - \omega t)} 2\pi a^2 \frac{J_1\left(\frac{kaq}{R}\right)}{\frac{kaq}{R}}$$

$$I = \frac{2(\mathcal{E}_A A)^2}{R^2} \left[ \frac{J_1\left(\frac{kaq}{R}\right)}{\frac{kaq}{R}} \right]^2 \xrightarrow{\sin \theta = q/R} I_0 \left[ \frac{J_1(ka \sin \theta)}{ka \sin \theta} \right]^2$$

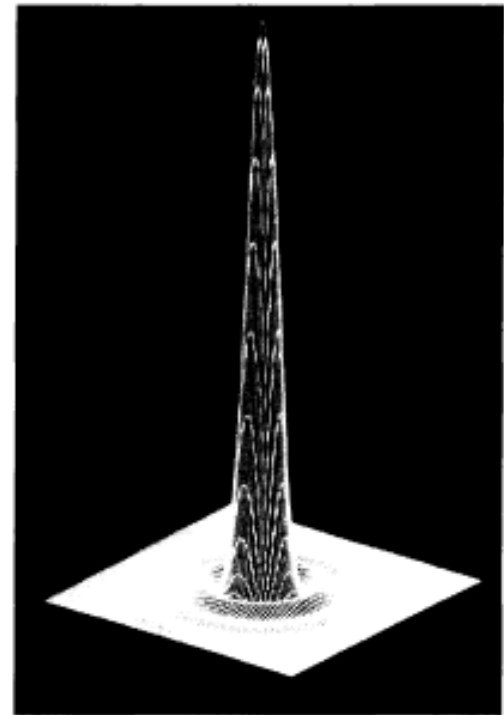


$$I_0 \left[ \frac{J_1(ka \sin \theta)}{ka \sin \theta} \right]^2$$

# Airy Disk



(b)

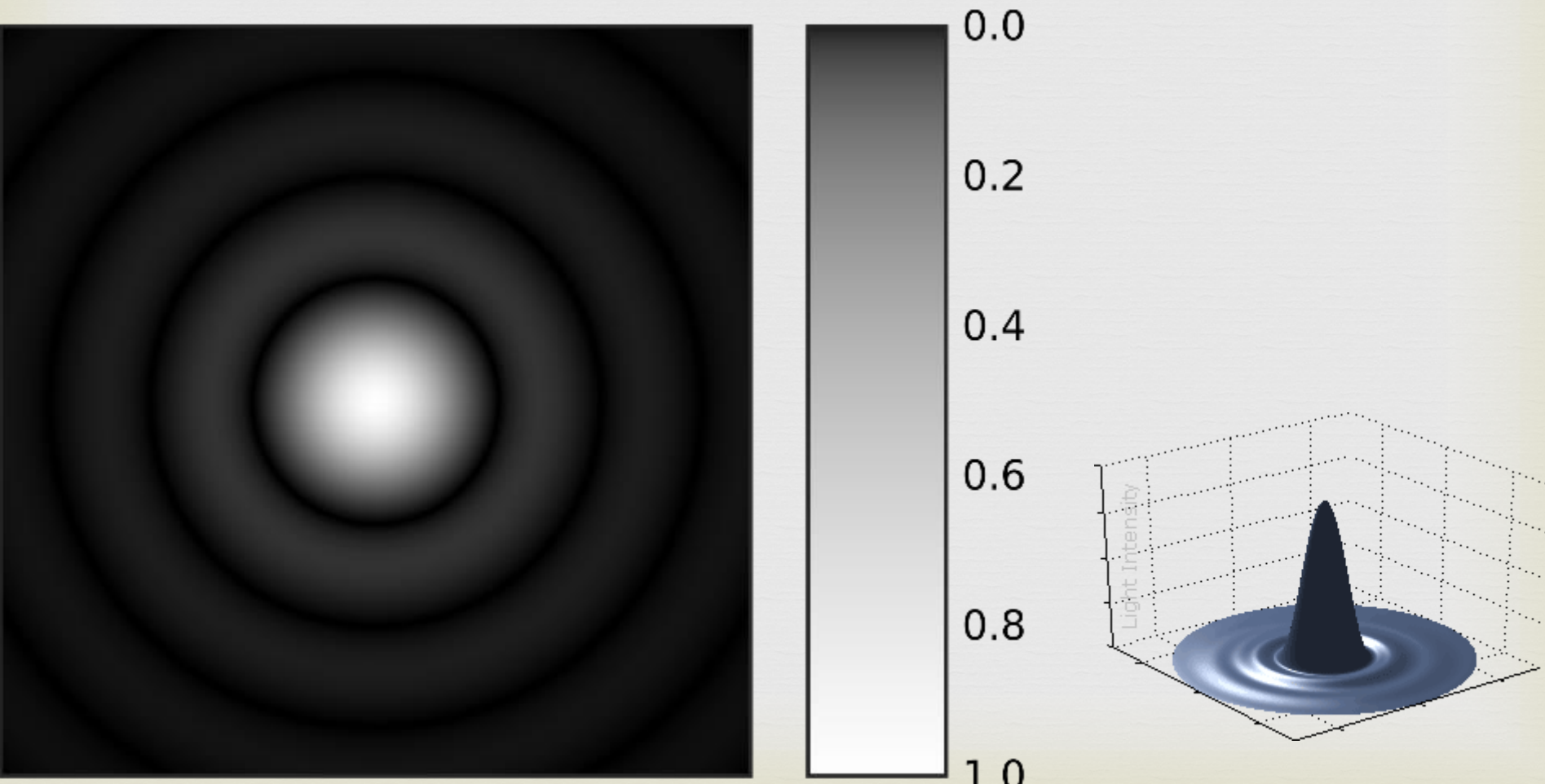


(c)

**Figure 10.23** (a) The Airy pattern. (b) Electric field created by Fraunhofer diffraction at a circular aperture. (c) Irradiance resulting from Fraunhofer diffraction at a circular aperture. (Photos courtesy R. G. Wilson, Illinois Wesleyan University.)

# Airy Disk

$$I_0 \left[ \frac{J_1(ka \sin \theta)}{ka \sin \theta} \right]^2$$



# Optical Imaging, Diffraction Limit, & Resolution

## — *Wave Nature of Light* —

Image Resolution

∞ Sensor resolution (pixel resolution)

∞ Spatial resolution



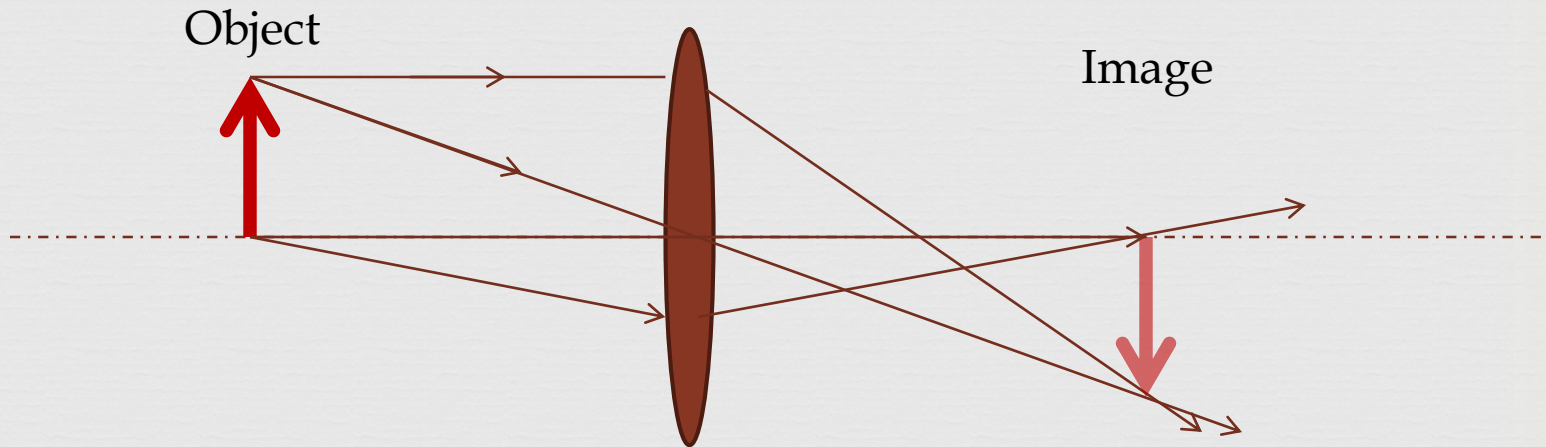


# Optical Imaging



# Optical Imaging Principle

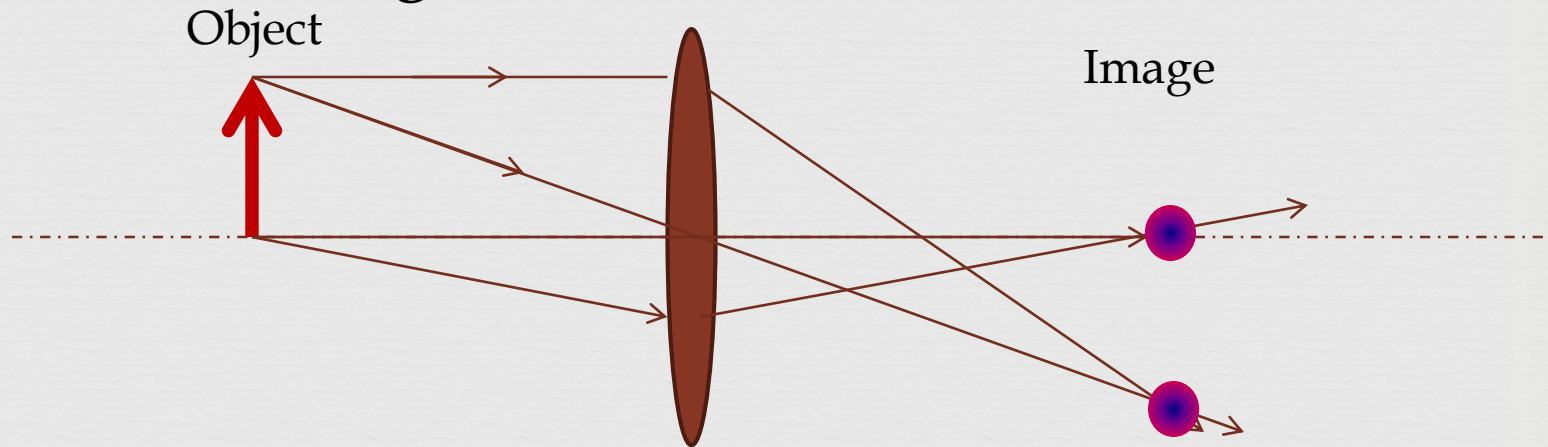
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Geometric Optics: point (object plane)  $\Leftrightarrow$  point (imaging plane)

# Imaging Resolution: How small are we able to see?

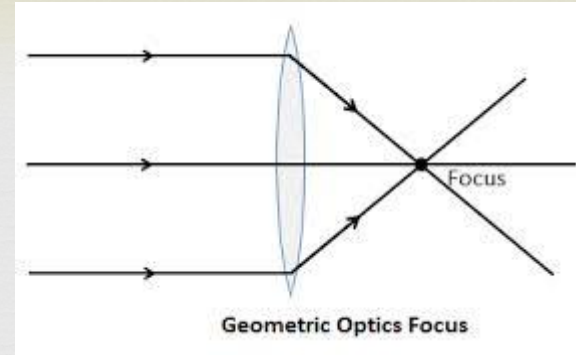
Remember: light is wave!



Wave Optics: point (object plane)  $\Rightarrow$  spot (imaging plane)

For illustration, we set image amplification as 1 to show the resolution.

# How small can we make a light spot?



2D ideal sizeless point at  $(x, y)$ :



$$\delta(x, y) = \delta(x)\delta(y)$$

$$\delta(x) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} e^{ikx} dk$$

$$\delta(x, y) = \frac{1}{(2\pi)^2} \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} e^{i(k_x x + k_y y)} dk_x dk_y$$

However...

$$k_x^2 + k_y^2 \leq k_x^2 + k_y^2 + k_z^2 =$$

$$\left(\frac{\omega}{c}\right)^2 = \left(\frac{2\pi}{\lambda}\right)^2$$

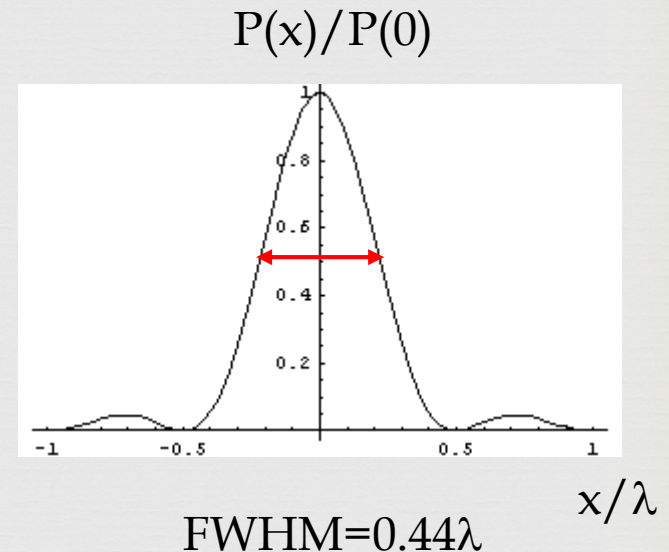
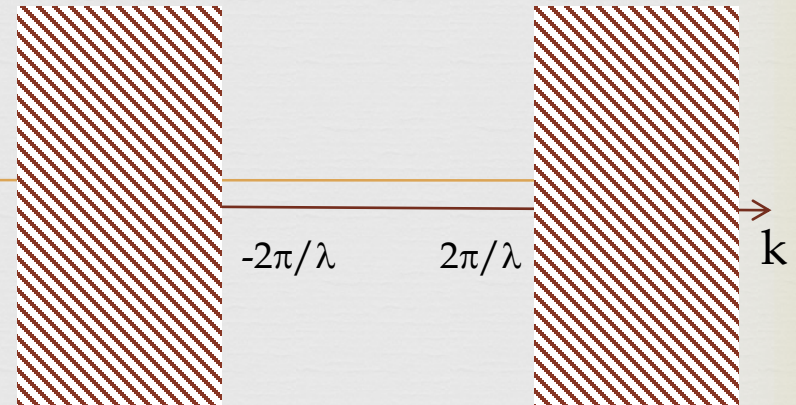
# 1D

Let's start with 1D case for simple math

$$\delta(x) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} e^{ikx} dk$$

$$s(x) = \frac{1}{2\pi} \int_{-2\pi/\lambda}^{+2\pi/\lambda} e^{ikx} dk = \frac{\sin\left[\frac{2\pi x}{\lambda}\right]}{\pi x}$$

$$P(x) = |s(x)|^2 = \left| \frac{\sin\left[\frac{2\pi x}{\lambda}\right]}{\pi x} \right|^2$$



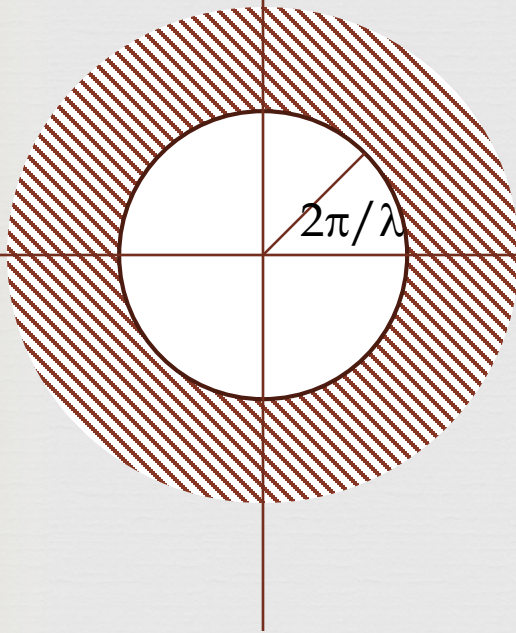
# 2D

$$\rho = \sqrt{x^2 + y^2}$$

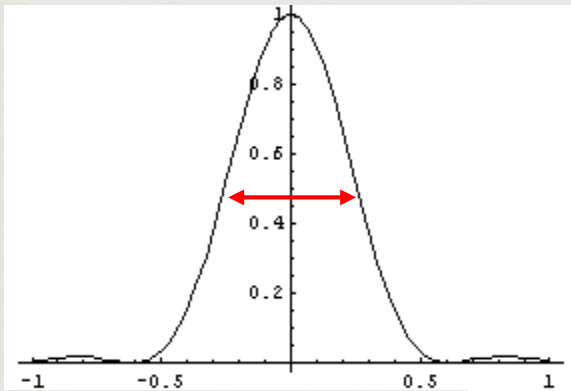
$J_1(2\pi\rho/\lambda)$ : Bessel function of the first kind, order 1

$$s(\rho) = \frac{J_1(2\pi\rho/\lambda)}{\rho}$$

$$P(\rho) = |s(\rho)|^2 = \left| \frac{J_1(2\pi\rho/\lambda)}{\rho} \right|^2$$

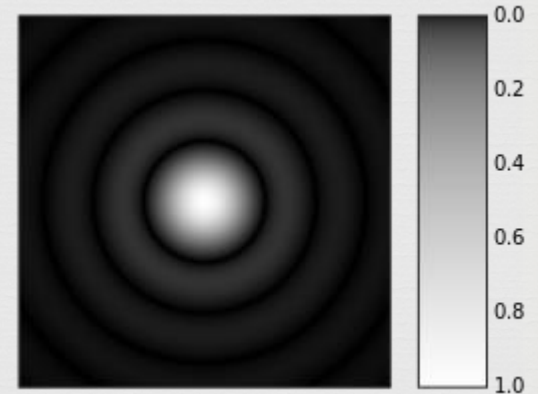
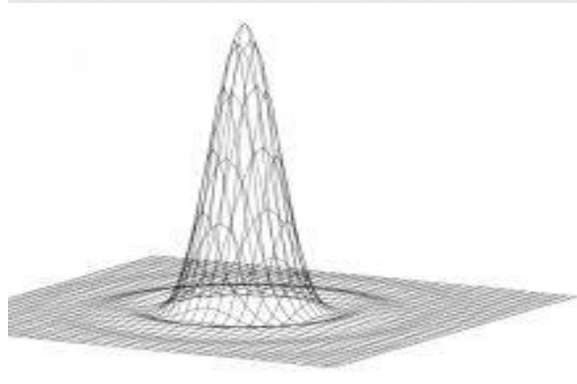


$k_x$



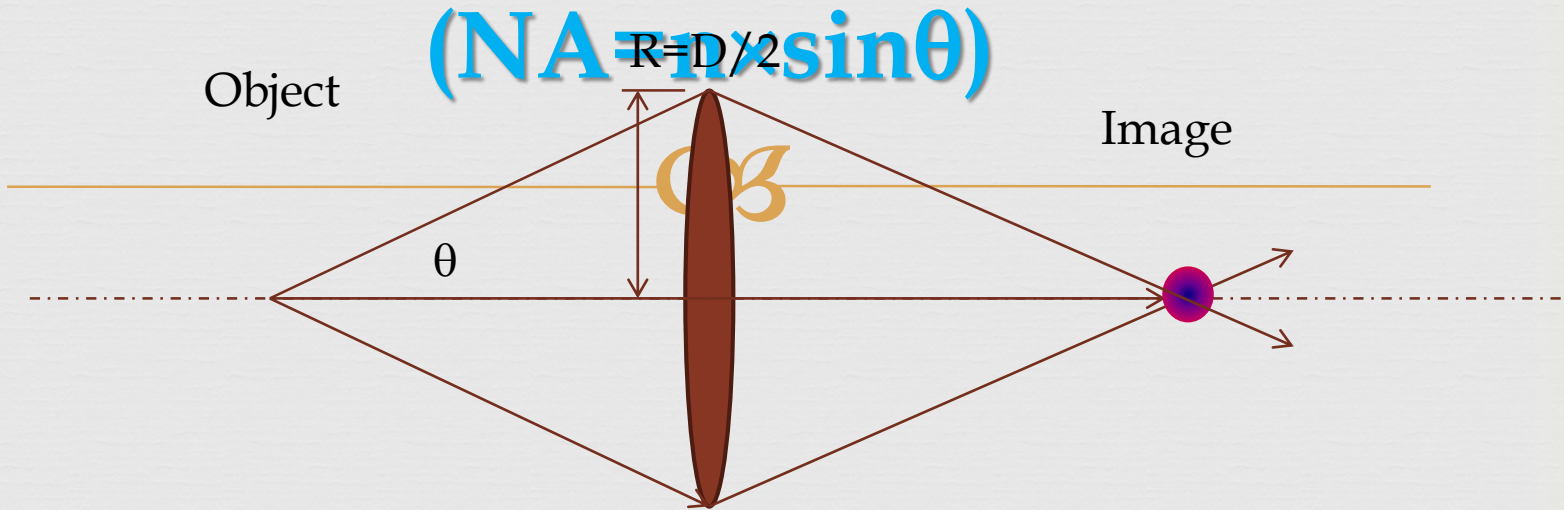
FWHM=0.5 $\lambda$

$\rho/\lambda$



Airy disk pattern

# A Real Imaging System with Numerical Aperture ( $NA = n \sin \theta$ )



$$k_x^2 + k_y^2 \leq \left( \frac{2\pi}{\lambda} \right)^2 \sin^2 \theta = \left( \frac{2\pi}{\lambda} \sin \theta \right)^2$$

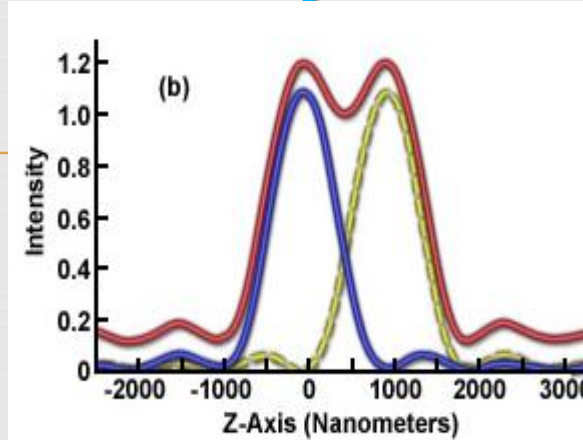
By replacing  $\lambda$  with  $\lambda/\sin \theta$ , we get

$$\text{FWHM} = 0.5 \lambda / \sin \theta = \lambda / (2 \sin \theta)$$

Further including the refractive index  $n$  of the imaging medium ( $\lambda \rightarrow \lambda/n$ ), we obtain

$$\text{FWHM} = \text{Abbe Resolution} = \lambda / (2n \sin \theta) = \lambda / (2NA)$$

# A Real Imaging System with Numerical Aperature (NA)



$$NA = n \sin \theta \leq n$$

$$FWHM = \lambda / (2NA) \geq \lambda / (2n) \Rightarrow \lambda / 2 \text{ in air}$$

**Optical microscope** ( $\lambda$ : 400 nm – 1000 nm):  
best achievable resolution is 200 nm

# Optical Microscope



Ernst Abbe is credited by many for discovering the resolution limit of the microscope, and the formula (published in 1873): 'Abbe limit'

$$d = \frac{\lambda}{2NA}$$

Microscope by Carl Zeiss (1879) with optics by Abbe

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[http://en.wikipedia.org/wiki/Ernst\\_Abbe](http://en.wikipedia.org/wiki/Ernst_Abbe)



Nikon inverted microscope

# Magnification vs. Resolution



In principle, one can achieve a magnification as large as possible by combining different lenses (then the FWHM of the image spot we discussed previously should also multiply the same magnification factor). There is a limit to the resolution no matter how big the magnification is. This is because light is wave.

## Diffraction Limit

$$d = \lambda / (2NA) \quad 200 \text{ nm for visible light}$$