

# Lecture 13: Dynamic Programming over Intervals

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# Longest Palindromic Substring

**Def:** A **palindrome** is a string that reads the same backward or forward.

**Ex:**

- radar, level, racecar, madam
- "A man, a plan, a canal - Panama!" (ignoring space, punctuation, etc.)

**Problem:** Given a string  $X = x_1x_2 \dots x_n$ , find the longest palindromic substring.

**Ex:**

- $X = \text{ACCABA}$
- Palindromic substrings: ACCA, ABA
- Longest palindromic substring: ACCA

**Note:**

- Brute-force algorithm takes  $O(n^3)$  time.
- A substring must be contiguous
- A subsequence does not have to be contiguous

## Dynamic Programming Solution

**Def:** Let  $p[i, j]$  be *true* iff  $X[i..j]$  is a palindrome.

**The recurrence:**

- $p[i, i] = \text{true}$ , for all  $i$
- $p[i, i + 1] = \text{true}$  if  $x_i = x_{i+1}$
- $p[i, j] = \text{true}$  if  $x_i = x_j$  and  $p[i + 1, j - 1] = \text{true}$

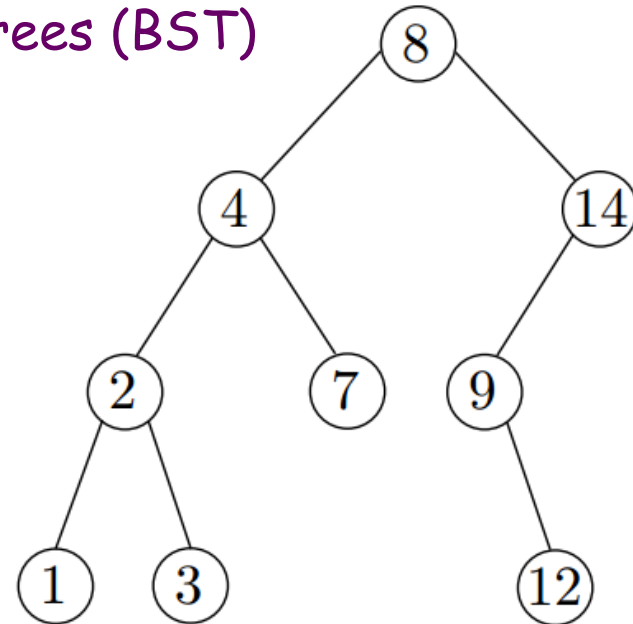
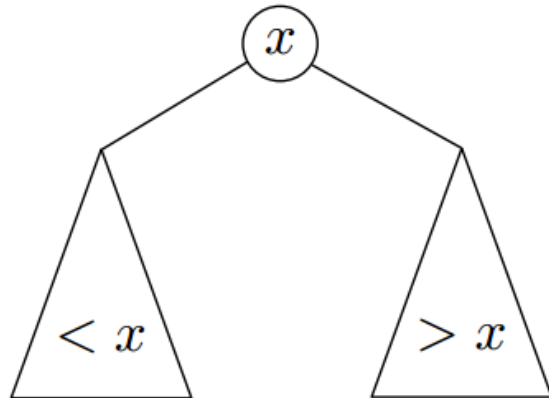
# The Algorithm

```
max ← 1
for i ← 1 to n - 1 do
  p[i, i] ← true
  if xi = xi+1 then
    p[i, i + 1] ← true, max ← 2
  else p[i, i + 1] ← false
for l ← 3 to n do
  for i ← 1 to n - l + 1 do
    j ← i + l - 1
    if p[i + 1, j - 1] = true and xi = xj then
      p[i, j] ← true, max ← l
    else p[i, j] ← false
return max
```

Running time:  $O(n^2)$

Space:  $O(n^2)$  but can be improved to  $O(n)$

## Binary search trees (BST)



**Tree-Search**( $T, k$ ) :

$x \leftarrow T.root$

**while**  $x \neq nil$  **and**  $k \neq x.key$  **do**

**if**  $k < x.key$  **then**  $x \leftarrow x.left$

**else**  $x \leftarrow x.right$

**return**  $x$

The (worst-case) search time in a balanced BST is  $\Theta(\log n)$

**Q:** If we know the probability of each key being searched for, can we design a (possibly unbalanced) BST to optimize the expected search time?

# The Optimal Binary Search Tree Problem

**Problem Definition (simpler than the version in textbook):**

Given  $n$  keys  $a_1 < a_2 < \dots < a_n$ , with weights  $f(a_1), \dots, f(a_n)$ , find a binary search tree  $T$  on these  $n$  keys such that

$$B(T) = \sum_{i=1}^n f(a_i)(d(a_i) + 1)$$

is minimized, where  $d(a_i)$  is the depth of  $a_i$ .

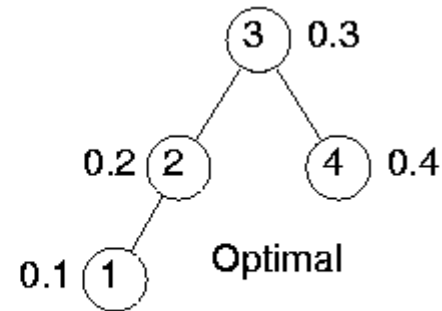
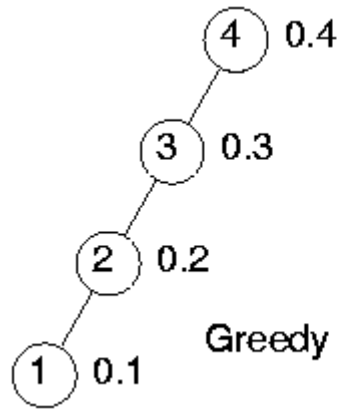
**Note:** This is similar to the Huffman coding problem but with 2 key differences:

- The tree has to be a BST, i.e., the keys are stored in sorted order. In a Huffman tree, there is no ordering among the leaves.
- Keys appear as both internal and leaf nodes. In a Huffman tree, keys (characters) appear only at the leaf nodes.

**Motivation:** If the weights are the probabilities of the elements being searched for, then such a BST will minimize the expected search cost.

## Greedy Won't Work

**Greedy strategy:** Always pick the heaviest key as root, then recursively build the tree top-down.



$$B(T) = 0.4 \cdot 2 + 0.3 \cdot 1 + 0.2 \cdot 2 + 0.1 \cdot 3 = 1.8$$

$$B(T) = 0.4 \cdot 1 + 0.3 \cdot 2 + 0.2 \cdot 3 + 0.1 \cdot 4 = 2$$

# Dynamic Programming: The Recurrence

**Def:**  $e[i, j]$  = the minimum cost of any BST on  $a_i, \dots, a_j$

**Idea:** The root of the BST can be any of  $a_i, \dots, a_j$ . We try each of them.

**Recurrence:**

Let  $w[i, j] = f(a_i) + \dots + f(a_j)$

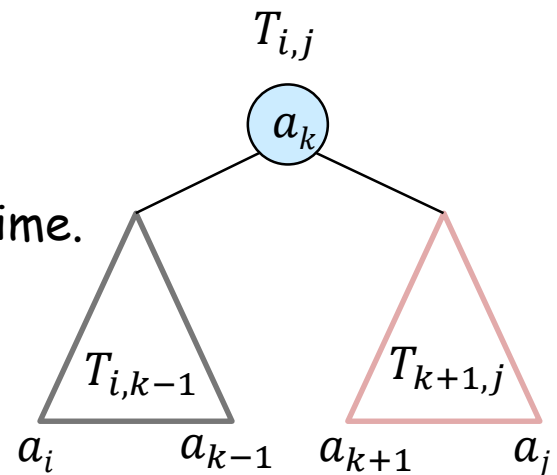
depth of each node in  $T_{i,j} = \text{its depth in } T_{i,k-1} + 1$

$$e[i, j] = \min_{i \leq k \leq j} \{e[i, k-1] + w[i, k-1] + e[k+1, j] + w[k+1, j] + f(a_k)\}$$

$$= \min_{i \leq k \leq j} \{e[i, k-1] + e[k+1, j] + w[i, j]\}$$

$$e[i, j] = 0 \text{ for } i \geq j.$$

**Note:** All  $w[i, j]$ 's can be pre-computed in  $O(n^2)$  time.





# The Algorithm

**Idea:** We will do the bottom-up computation by the increasing order of the problem size.

```
let  $e[1..n, 1..n], w[1..n, 1..n], root[1..n, 1..n]$  be new arrays of all 0
for  $i = 1$  to  $n$ 
     $w[i, i] \leftarrow f(a_i)$ 
    for  $j = i + 1$  to  $n$ 
         $w[i, j] \leftarrow w[i, j - 1] + f(a_j)$ 
for  $l \leftarrow 1$  to  $n$ 
    for  $i \leftarrow 1$  to  $n - l + 1$ 
         $j \leftarrow i + l - 1$ 
         $e[i, j] \leftarrow \infty$ 
        for  $k \leftarrow i$  to  $j$ 
             $t \leftarrow e[i, k - 1] + e[k + 1, j] + w[i, j]$ 
            if  $t < e[i, j]$  then
                 $e[i, j] \leftarrow t$ 
                 $root[i, j] \leftarrow k$ 
return Construct-BST( $root, 1, n$ )
```

Running time:  $O(n^3)$

Space:  $O(n^2)$

## Construct the Optimal BST

```
Construct-BST(root, i, j) :  
if  $i > j$  then return nil  
create a node z  
 $z.key \leftarrow a[\text{root}[i, j]]$   
 $z.left \leftarrow \text{Construct-BST}(\text{root}, i, \text{root}[i, j] - 1)$   
 $z.right \leftarrow \text{Construct-BST}(\text{root}, \text{root}[i, j] + 1, j)$   
return z
```

Running time of this part:  $O(n)$

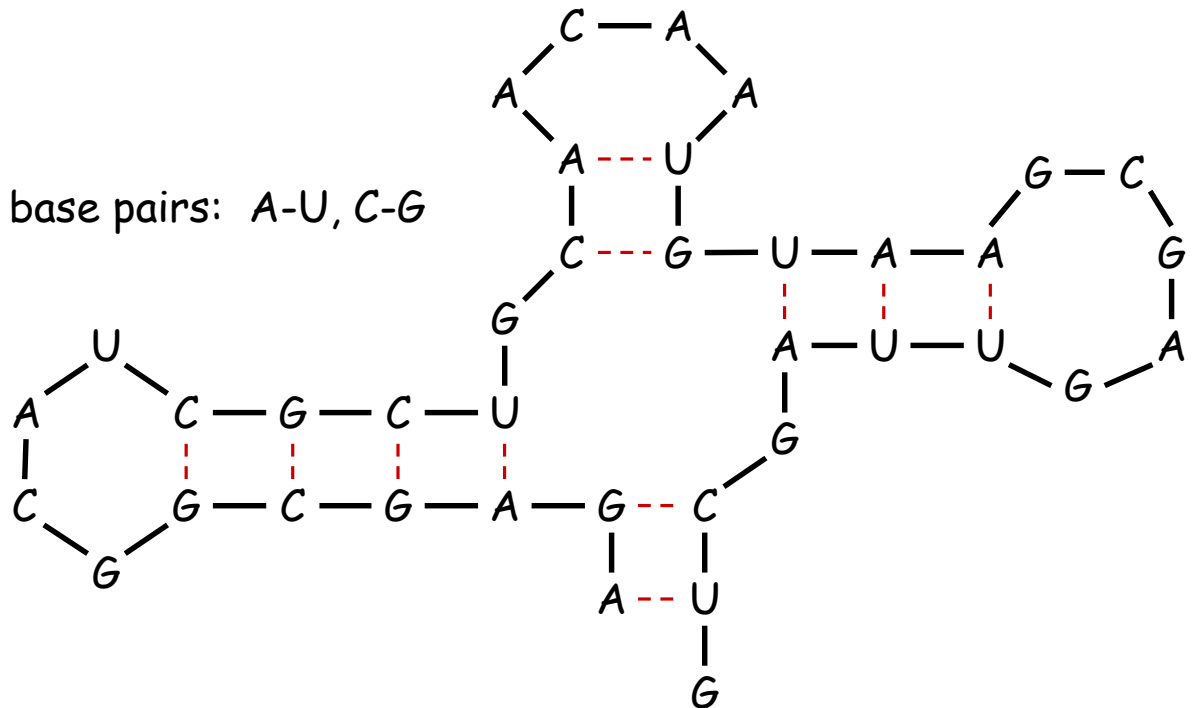
# RNA Secondary Structure

**RNA.** String  $B = b_1b_2\dots b_n$  over alphabet  $\{A, C, G, U\}$ .

**Secondary structure.** RNA is single-stranded so it tends to loop back and form base pairs with itself. This structure is essential for understanding the behavior of molecules.

**Ex:** GUCGAUUGAGCGAAUGUAACAACGUGGCUACGGCGAGA

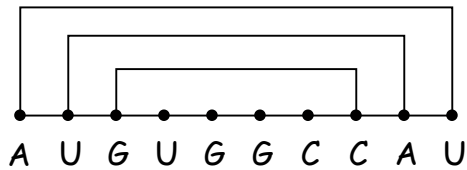
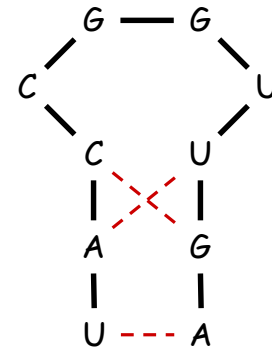
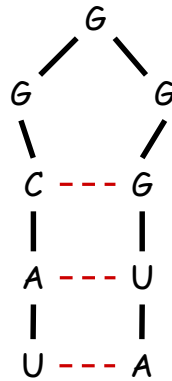
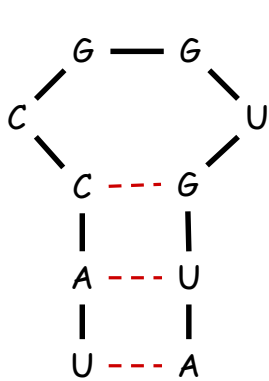
complementary base pairs: A-U, C-G



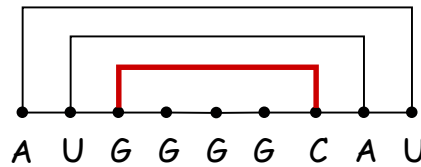
# RNA Secondary Structure

**Secondary structure.** A set of pairs  $S = \{(b_i, b_j)\}$  that satisfy:

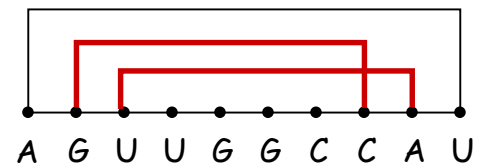
- [Watson-Crick.]  $S$  is a matching and each pair in  $S$  is a Watson-Crick complement: A-U, U-A, C-G, or G-C.
- [No sharp turns.] The ends of each pair are separated by at least 4 intervening bases: If  $(b_i, b_j) \in S$ , then  $i < j - 4$ .
- [Non-crossing.] If  $(b_i, b_j)$  and  $(b_k, b_l)$  are two pairs in  $S$ , then we cannot have  $i < k < j < l$ .



ok



sharp turn



crossing

## The Problem

**Free energy.** Usual hypothesis is that an RNA molecule will form the secondary structure with the optimum total free energy, which is proportional to the number of base pairs.

**Goal.** Given an RNA molecule  $B = b_1b_2\dots b_n$ , find a secondary structure  $S$  that maximizes the number of base pairs.

## The Recurrence

**Def.**  $M[i, j]$  = maximum number of base pairs in a secondary structure of the substring  $b_i b_{i+1} \dots b_j$ .

**Recurrence.**

- **Case 1.** If  $i \geq j - 4$ .
  - $M[i, j] = 0$  by no-sharp turns condition.
- **Case 2.** Base  $b_j$  is not matched in OPT.
  - $M[i, j] = M[i, j - 1]$
- **Case 3.** Base  $b_j$  pairs with  $b_k$  for some  $i \leq k \leq j - 5$ .
  - non-crossing constraint decouples problem into sub-problems
  - $M[i, j] = 1 + \max\{M[i, k - 1] + M[k + 1, j - 1]\}$

$\uparrow$   
take max over  $k$  such that  $i \leq k \leq j - 5$  and  
 $b_k$  and  $b_j$  are Watson-Crick complements

# The Algorithm

```
let  $M[1..n, 1..n], s[1..n, 1..n]$  be new arrays of all 0
for  $l \leftarrow 1$  to  $n$ 
  for  $i \leftarrow 1$  to  $n - l + 1$ 
     $j \leftarrow i + l - 1$ 
     $M[i, j] \leftarrow M[i, j - 1]$ 
    for  $k \leftarrow i$  to  $j - 5$ 
      if  $b_k$  and  $b_j$  are not complements then continue
       $t \leftarrow 1 + M[i, k - 1] + M[k + 1, j - 1]$ 
      if  $t > M[i, j]$  then
         $M[i, j] \leftarrow t$ 
         $s[i, j] \leftarrow k$ 
Construct-RNA( $s, 1, n$ )
```

Running time:  $O(n^3)$

Space:  $O(n^2)$

```
Construct-RNA( $s, i, j$ ) :
if  $i \geq j - 4$  then return
if  $s[i, j] = 0$  then Construct-RNA( $s, i, j - 1$ )
print  $s[i, j], "-" , j$ 
Construct-RNA( $s, i, s[i, j] - 1$ )
Construct-RNA( $s, s[i, j] + 1, j$ )
```